

Board of Directors (in Public)

Item 5.5

Subject: High Risk Report
Date of meeting: 26th November 2024
Prepared by: Helen Martin, Head of Risk Management
Presented by Ben Vinter, Director of Risk and Corporate Governance
Purpose of report: To Note

BAF Reference	Impact on BAF
All	The report includes high level risks which continue to be considered in respect of any implications for the BAF.

Level of assurance (please tick one) <i>To be used when the content of the report provides evidence of assurance</i>					
<input type="checkbox"/>	Acceptable assurance	<input type="checkbox"/>	Partial assurance	<input type="checkbox"/>	Low assurance
<input checked="" type="checkbox"/>	Controls are suitably designed, with evidence of them being consistently applied and effective in practice	<input type="checkbox"/>	Controls are still maturing – evidence shows that further action is required to improve their effectiveness	<input type="checkbox"/>	Evidence indicates poor effectiveness of controls

1. Executive Summary

The Risk Registers contain significant risks identified as having potential impact on the trust objectives. These include risks identified and escalated by the Clinical Divisions.

Risks are reviewed monthly at each Divisional Governance meeting and quarterly by the Risk Management Committee.

This report provides an update of risks with residual scores of 15 or higher along with the action plans in place to control and/or mitigate them. This report is correct as of 8th November 2024. Any subsequent changes to the risks will be captured in further reports.

Other highlights:

The Emergency Planning Resilience Response core standards self-assessment was undertaken recently, and an initial assessment of partial compliance was attained. This assessment was submitted for review to the C&M ICB. Following evaluation of the standards by C&M ICB, the result of partial compliance has been confirmed as the final result for 2024. This represents progress from a relaunched core standards approach in 2023/4. An action plan has been developed in order to improve on these results.

2. Key Issues

There are currently **two** risks that have a score of 15 or above. This report is correct as of 8th November 2024.

The risks are as follows:

Risk ID ⇄	Risk Owner	Date	Review Date	Residual Score	Target Score
Clinical Services - Risk 00001918	Divisional Head of Operations Clinical Services	Nov 2023	Oct 2024	16	6
Description	There is a risk to the timeliness of patients receiving an MR diagnostic scan within the 6 week target due to pressured service lines.				
Controls	<p>The operational team are working closely with the admin team to ensure that patients are booked in order of clinical urgency, longest waits, planned dates and to align with accessing other care in the trust. This approach is irrespective of referrer (LHCH or external).</p> <p>Mutual aid commencement with LUHFT to pool longest waiting cardiac MR patients across the sites.</p> <p>Delivering waiting list initiatives targeting the most challenged areas of the service i.e. cardiac stress and Pacemaker scans.</p> <p>Recruitment of additional administrative support via bank and business cases to recruit additional staff to support the workforce as a result of significant LTS in the team has been actioned.</p> <p>Recruitment to the admin team vacancies and additional posts proposal through the Trusts annual planning process.</p> <p>Recruitment to Consultant Radiologist posts.</p> <p>New Service Line Manager post recruited to.</p> <p>Weekly PTL meetings with the operational and administrative team to review and validate the waiting list.</p> <p>Collaborative working with Physiology department to facilitate Pacemaker appointments.</p> <p>Administrative team are being supported to robustly follow the trust access policy</p> <p>Weekly operational meeting with Consultant Lead Radiologist</p>				
Actions	<p>SOP's revisited and shared with the SLM to trial and to be ratified once agreed.</p> <p>Seek mutual aid via CMAST</p>				

Risk ID ⇄	Risk Owner	Date	Review Date	Residual Score	Target Score
Corporate Services - Risk 00002038	Head of IT	Jun 2024	Oct 2024	16	12
Description	There is a risk to ISCV clinical data security				
Controls	<p>Controls from risk #2046 31/7/24 In the short term, the trust IT support team have looked at various methods to reduce existing stored data or expand the available storage. This has included removing unused and unneeded data and removing the storage replication, freeing up additional space for data storage.</p> <p>Controls from risk #2046 31/7/24 An overarching infrastructure strategy is in production which will look to provide a long term solution which matches the trusts future storage requirements.</p> <p>IT are reviewing options with suppliers and hope to have them together by end of October.</p>				
Actions					

Static score	Increasing score	Decreasing score	New Risk
⇄	↑	↓	◆

3. Recommendation

The Board of Directors is asked to note the content of this report and be assured the Trust has systems and processes in place for the identification, management and escalation of risks.